

MAR 22 2002

JC07 Rec CT/PTO 22 MAR 2002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: GRASSL, THOMAS

SERIAL NO.: 09/926,791

FILED: December 20, 2001

FOR: SEMICONDUCTOR MEMORY CHIP MODULE

GROUP ART UNIT: n/a

EXAMINER: n/a

ATTY. REFERENCE: GRAS3005/JEK

PCT
DO/EO/US

#4

THE COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

The below identified communication(s) or document(s) is(are) submitted in the above application or proceeding:

☒ Declaration

☒ Assignment Cover Sheet

☒ Assignment to Giesecke & Devrient
GmbH

☐ Issue Fee Transmittal

☒ Check in the Amount of \$170.00 (\$130.00 Fee
for Late Filing of Declaration; \$40.00 Fee for
Assignment Recordal)

☐ Application Data Sheet

☒ Copy of Notification of Missing Requirements
Under 35 U.S.C. 371 in the United States
Designated/Elected Office (DO/EO/US)

☒ Please debit or credit Deposit Account Number 02-0200 for any deficiency or surplus in connection with this communication. A duplicate copy of this sheet is provided for use by the Deposit Account Branch.

☐ Small Entity Status is claimed.

☐

03/27/2002 NGUYEN 00000068 09926791

01 FC:154

130.00 DP



23364

PATENT TRADEMARK OFFICE

BACON & THOMAS, PLLC
625 SLATERS LANE - FOURTH FLOOR
ALEXANDRIA, VIRGINIA 22314
(703) 683-0500

DATE: March 22, 2002

Respectfully submitted,

[Signature]
J. Ernest Kenney
Attorney for Applicant
Registration Number: 19,179

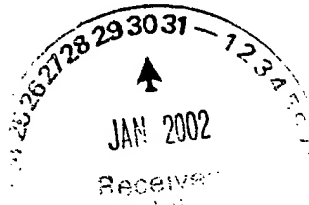


UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
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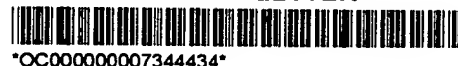
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/926,791	Thomas Grassl	GRAS3005

23364
BACON & THOMAS, PLLC
625 SLATERS LANE
FOURTH FLOOR
ALEXANDRIA, VA 22314



INTERNATIONAL APPLICATION NO.	
PCT/EP00/05625	
LA. FILING DATE	PRIORITY DATE
06/19/2000	06/23/1999

CONFIRMATION NO. 8662
371 FORMALITIES LETTER



OC00000007344434

Date Mailed: 01/23/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

GRAS3005
Date 1-31-02 Atty JFK
Action Due NTEM Regs
Deadline 3-23-02
Final Deadline _____

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 305-3736

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/926,791	PCT/EP00/05625	GRAS3005